Docket No.

249142US0DIV

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Mikio TAKAIWA, et al.

SERIAL NO:

NEW APPLICATION

GAU:

FILED: HEREWITH

EXAMINER:

FOR:

ALKALINE PROTEASE

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references were filed on August 3, 2001, November 29, 2002, and July 3, 2003 and were also entered by the U.S. Patent Office on August 22, 2003 in U.S. Application Serial No. 09/920,954 filed August 3, 2001, pending, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.

A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

□ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,

MALER & NEUSTADT, P.C.

Norman F. Oblon

Registration No. 24,618

22850

22850 1 (703) 413-3000

Customer Number

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

Vincent K. Shier, Ph.D. Registration No. 50,552

Richard L. Chinn, Ph.D. Registration No. 34,305 Docket No.

249142US0DIV '

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Mikio TAKAIWA, et al.

SERIAL NO: NEW APPLICATION

GAU:

FILED:

HEREWITH

EXAMINER:

FOR:

ALKALINE PROTEASE

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references were filed on August 3, 2001, November 29, 2002, and July 3, 2003 and were also entered by the U.S. Patent Office on August 22, 2003 in U.S. Application Serial No. 09/920,954 filed August 3, 2001, pending, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number <u>15-0030</u>. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,

MAIER & NEUSTAIDT, P.C.

Norman F. Oblon

Registration No. 24,618

Customer Number

22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

Vincent K. Shier, Ph.D. Registration No. 50,552

Richard L. Chinn, Ph.D. Registration No. 34,305

Form PTO 1449	U.S. DEPARTMENT OF COMMERCE			ATTY DOCKET NO.		SERIAL NO.		
(Modified)		PATENT AND TRAD	EMARK OFFICE	249142US0DIV		NEW APPLICATION		
				APPLICANT				
LIST OF REFERENCES CITED BY APPLICANT				Mikio TAKAIWA, et al.				
				FILING DATE		GROUP		
				HEREWITH				
	-			U.S. PATENT DOCUMENTS				
EXAMINER				NAME	CLASS	SUB FILING DATE		
INITIAL		NUMBER				CLASS IF APPROPRIATE		
	AA	5,635,468	06/03/97	Ara et al.				
	AB	5,344,770	09/06/94	Hitomi et al.				
	AC	5,079,154	01/07/92	Ito et al.				
	AD	5,665,587	09/09/97	D. Aaslyng, et al.				
	AE	4,797,362	01/10/89	K. Takeuchi, et al.				
	AF	6,376,227	04/2002	Takaiwa et al.				
	AG							
	АН							
	AI							
	AJ							
	AK							
	AL							
	AM							
	AN]			-
	•		FO	REIGN PATENT DOCUMENTS				
		DOCUMENT NUMBER	DATE	COUNTRY		TRANSLATION YES NO		
	AO	JP 9-121856	05/13/97	Japan				
	AP	JP 5-211868	08/24/93	Japan				
	AQ	JP 9-121855	05/13/97	Japan				
	AR	JP 6-70765	03/15/94	Japan				
	AS	JP 4-197182	07/16/92	Japan				
	AT	WO 98/20115	05/14/98	Wipo				
	AU	WO 98/56927	12/17/98	Wipo				
	AV	1 209 233	05/29/02	Europe				
	AW	61-280278	12/10/86	Japan (corr. to U.S. 4,797,362)				
	•	OTHER R	EFERENCES	(Including Author, Title, Date, Pertinen	t Pages, e	etc.)		
	Japanese Office Action for Japanese patent application 2000-515013 which is based on Japanese Patent Application 9- 274570							
	AY							
	AZ							
Examiner	Į.	1. 2.				Date Considered		
*Examiner: I	nitial if	reference is considered of considered. Include of	, whether or no	ot citation is in conformance with MPEP 6 m with next communication to applicant.	09; Draw I	ine througl	n citation	if not in